

Doc. No. 2200 (23)

Pege 1

PROPOSAL PRESENTED TO THE DEPARTMENT OF STATE THROUGH THE MEDIUM OF PRIVATE AMERICAN AND JAPANEST INDIVIDUALS ON APRIL 9, 1941

The Governments of the United States and of Japan accept joint responsibility for the initiation and conclusion of a general agreement disposing the resumption of our traditional friendly relations.

Without reference to specific causes of recent estrangement, it is the sincere desire of both Governments that the incidents which led to the deterioration of amicable sentiment among our people should be prevented from recurrence and corrected in their unforeseen and unfortunate consequences.

It is our present hope that, by a joint effort, our nations may establish a just Peace in the Pacific: and by the rapid consummation of an entente cordiale, arrest, if not dispel, the tragic confusion that now threatens to engulf civilization.

For such decisive action, protracted regotiations would seem ill-suited and weakening. We, therefore, suggest that adequate instrumentalities should be developed for the realization of a general agreement which would bind, meanwhile, both governments in honor and in act.

It is our belief that such an understanding should comprise only the pivotal issues of urgency and not the accessory concerns which could be deliberated at a Conference and appropriately confirmed by our respective Governments.

We presume to anticipate that our Governments could achieve harmonious relations if certain situations and attitudes were clarified or improved; to wit:

- 1. The concepts of the United States and of Japan respecting international relations and the character of nations.
- 2. The attitudes of both governments toward the European War.
- 3. The relations of both nations toward the China affair.
- 4. Naval, aerial and mercantile marine relations in the Pacific.
- 5. Commerce between both nations and their financial cooperation.
- 6. Economic activity of both nations in the Southwestern Pacific area.
- 7. The policies of both nations affecting political stabilization in the Pacific.

Accordingly, we have come to the following mutual understanding subject, of course, to modifications by the United States Government and subject to the official and final decision of the Government of Japan.

I. The concepts of the United States and of Japan respecting international relations and the character of nations.

The Governments of the United States and of Japan might jointly acknowledge each other as equally soverign states and contiguous Pacific powers.

Both Governments assert the unanimity of their national policies as directed toward the foundation of a lasting peace and the inauguration of a new era of respectful confidence and cooperation among our peoples.

Both Governments might declare that it is their traditional, and present, concept and conviction that nations and races compose, as members of a family, one household; each equally enjoying rights and admitting responsibilities with a mutuality of interests regulated by peaceful processes and directed to the pursuit of their moral and physical welfare, which they are bound to defend for themselves as they are bound not to destroy for others.

Both Governments are firmly determined that their respective traditional concepts on the character of nations and the underlying moral principles of social order and national life will continue to be preserved and never transformed by foreign ideas or ideologies contrary to those moral principles and concepts.

II. The attitudes of both Governments toward the European War.

The Covernment of Japan maintains that the purpose of its Axis Alliance was, and is, defensive and designed to prevent the extension of military grouping among nations not directly effected by the European War.

The Government of Japan, with no intention of evading its existing treaty obligations, desires to declare that its military obligation under the Axis Alliance comes into force only when one of the parties of the Alliance is aggressively attacked by a power not at present involved in the European War.

The Government of the United States maintains that its attitude toward the European War is, and will continue to be, determined by no aggressive alliance aimed to assist any one nation against another. The United States maintains that it is pledged to the hate of war, and accordingly, its attitude toward the European War is, and will continue to be, determined solely and exclusively by considerations of the protective defense of its own national welfare and security.

III. China affairs.

The President of the United States, if the following terms are approved by His Excellency and guaranteed by the Government of Japan, might request the Chiang-Kai-Chek regime to negotiate peace with Japan.

- a. Independence of China
- b. Withdrawal of Japanese troops from Chinese territory, in accordance with an agreement to be reached between Japan and China
- c. No acquisition of Chinese territory
- d. No imposition of indemnities
- e. Resumption of the "Open Door"; the interpretation and application of which shall be agreed upon at some future, convenient time between the United States and Japan.
- f. Coalescence of the Governments of Chiang-Kai-Chek and of Wang-Ching-Wei
- g. No large-scale or concentrated immigration of Japanese into Chinese territory
- h. Recognition of Manchukuo.

With the acceptance by the Chiang-Kei-Chek regime of the aforementioned Presidential request, the Japanese Government shall commence direct peace negotiations with the newly coalesced Chinese Government, or constituent elements thereof.

The Government of Japan shall submit to the Chinese concrete terms of peace, within the limits of aforesaid general terms and along the line of neighborly friendship, joint defense against communistic activities and economic cooperation.

Should the Chiang Kai-Chek regime reject the request of President Roosevelt, the United States Government shall discontinue assistance to the Chinese.

IV. Naval, aerial and mercantile marine relations in the Pacific.

a. As both the Americans and the Japanese are desirous of maintaining the peace in the Patific, they shall not resort to such disposition of their naval forces and actial forces as to menace each other. Detailed, concrete exacement thereof shall be left for determination at the proposed joint Conferences.

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b. At the conclusion of the projected Conference, each nation might despatch a courtesy naval squadron to visit the country of the other and signalize the new era of Peace in the Pacific.

c. With the first ray of hope for the settlement of Chinese affairs, the Japanese Government will agree, if desired, to use their good offices to release for contract by Americans certain percentage of their total tonnage of merchant vessels, chiefly for the Pacific service, so soon as they can be released from their present commitments. The amount of such tonnage shall be determined at the Conference.

V. Commerce between both natious and their financial cooperation.

When official approbation to the present understanding has been given by both Governments, the United States and Japan shall assure each other to mutually supply such commodities as are respectively available or required by either of them. Both Governments further consent to take necessary steps to the resumption of normal trade relations as formerly established under the Treaty of Navigation and Commerce between the United States and Japan. If a new commercial treaty is desired by both governments, it could be elaborated at the proposed conference and concluded in accordance with usual procedure.

For the advancement of economic cooperation between both nations, it is suggested that the United States extend to Japan a gold credit in amounts sufficient to foster trade and industrial development directed to the betterment of Far Eastern economic conditions and to the sustained economic cooperation of the Governments of the United States and of Japan.

VI. Economic activity of both nations in the Southwestern Pacific area.

On the pledged basis of guarantee that Japanese activities in the Southwestern Pacific area shall be carried on by peaceful means, without resorting to arms, American cooperation and support shall be given in the production and procurement of natural resources (such as oil, rubber, tin, nickel) which Japan needs.

VII. The policies of both nations affecting political stabilization in the Pacific.

A. The Governments of the United States and of Japan will not acquiesce in the future transfer of territories or the relegation of existing States within the Far East and in the Southwestern Pacific area to any European Power.

- b. The Governments of the United States and of Japan jointly guarantee the independence of the Philippine Islands and will consider means to come to their assistance in the event of unprovoked aggression by any third Power.
- c. The Government of Japan requests the friendly and diplomatic assistance of the Government of the United States for the removal of Hongkong and Singapore as doorways to further political encreachment by the British in the For East.
- d. Japanese Immigration to the United States and to the South-western Pacific area shall receive amicable consideration—on a basis of equality with other nationals and freedom from discrimination.

Conference.

- a. It is suggested that a Conference between Delegates of the United States and of Japan be held at Honolulu and that this conference be opened for the United States by President Roosevelt and for Japan by Prince Konoye. The delegates could number less than five each, exclusive of experts, clerks, etc.
 - b. There shall be no foreign observers at the Conference.
- c. This Conference could be held as soon as possible (May 1941) after the present understanding has been reached.
- d. The agenda of the Conference would not include a reconsideration of the present understanding but would direct its efforts to the specification of the prearranged agenda and drafting of instruments to effectuate the understanding. The precise agenda could be determined upon by mutual agreement between both governments.

Addendum.

The present understanding shall be kept as a confidential memorandum between the Governments of the United States and of Japan.

The scope, character and timing of the announcement of this understanding will be agreed upon by both Governments.

Foreign Relations II, pp.398-402

1月1日本人-個人于通公子多務·倉工模文中于及已日本人-個人于通公子多務人一提文中了一九四年的和十六年一四月九日私人夕北美國人

·再用,取植×心全般的協定,周始及口俸信,同求之政府及口布成何、兩國官,傳統的友好軍係

事件、再発习防止が、与見と得かに且不幸十に結果兩只政府、府名间、交好的麼情习寒化セモノクと語四時兩公何司政處十ラモノタに特殊原因三年係十り三共同責任了員八七一下下に、

去六七祖正元八二十八秋之。當面,奉望下下以天明三屆在一個七二十之以一下一港劇的混乱了消散十八年和一種立之旦協商,連十十八完成一個人今天同一努力一個一級之 國民八大平洋二於了公正其何一努力一位人共与國民八大平洋一次可以是五八八十二十八四月十八如八厘正八八十八十八月月度四月月春堂之代

使か溝かうとコトチ提議といては溝かい、上及で行動上向東スル全般的了解り遂下とを行ったる事」は見てとを向ける政府、使義消極的アアと、使いうれといい、問る西の政府、徳美野に決定的措置、為天京等」達失、不通らご

公善とういすう、我々、成府が配知的軍係二到度之傳、人大人若之或種、己能至之為度が鮮明とう又及為一二雄語可能,才一義的問題,今不以至了上侵以以四三雄語可能,不了審議可能了了因夫人,政府一個一個人人,斯小了解於累之心但要問題,三子宫之後

20四大年年一六下2万年、航空及口商服在保存了一次日幸事委三村六届上、軍侍之、軍侍之、政門·戰等三計六届品政府、陰云〇一次門·戰等三計六届品政府、監定〇一米國及口日本了品際関係及口國家、性格一関乙概念

七大年年一个下2次治的后至一門即召下八府已天西南太平洋一个下四國一经情治衙一在情治面五兩國官一通商及已金融的協力

成的法是与实力化モノトス,朱國政府一位的修正也了以又日本政府,正式且最改一枚之大人,次,如本相互的了解可遂下分,分滿是八大不洋一於下以政格的百足,影響了以兩子以案

王三月童又八十月十七十九八 使等自身, 為三足還費日奉成三年初的手段二依り規律七月以且他人, 為三兩不成人, 為三面子政府, 乃 究及 足徒, 在 是一老 舅一如了一家四子是以三十二就十一天全十八 喜 見一 取 問言己。問,尊敬的信賴及心備力,新時人, 創設二指向而立政府、兩回、國家个派人年初, 確 是至二兩別民下、 成年注二於下以 解 接 了下了了一只 其何三郎花, 不以及心日本, 乃際一年保 走 一年 其一年 其何一年以有

三於于云雲り十十概今下了り又確信下ていつ上月宣言元書任月記人によるる、南公政府、傳係的三字現在村實、相互軍係了以下各自办平等三權利月享有少的物質的為在一追述三向下了三居以

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三田華華家

ロトル、個小はないてなべて、 长引政府、朱引政府,此川戰等,村在隱慶、他人 另二科之或一分一樣助人心目的一有足攻帶的 同盟二你り現在二於干モ又将来二於干モ決之干決定 セラレザルコトラ、王張スに、米小、米小か興等了情更 日本言的三千度にコトラ王張えた。 後い了欧州教皇 对应未是一樣放一事了自忍一隔谁及心安全一保 該的所衛日因的上人以考展三位了了一多現在一般 テモ又将来三方子子決セラレルモーアアル

十八個軸同盟二個以日本成府一里与的美務、松盟 马或豆水欧州歌争二现在图像十年乃三依り攻 幹的三次華ヤラレタル場合ニーミ初カ月をスルモーナル

防止センか着ナルコトラ至然又化 日本政府、理存人、條约上、義務了回避人之意圖

且現在二於干子同樣十七三八又吹川戰爭一直按影 空ララダヶ尾をかいふた、軍了的集團化、旅大了

欧川野辛三村るる 南引成府、態な 日下成府、福朝同盟、目的心所衛的十月之下

候然上三年保存也与是等一道美及口概念上相唇 しかに外来思想又、「ヤデオロギー」一体り生力を戻 セランサルコトラ、配き館生きは法意う有えれ、

雨忍政府(忍你一性格,社会,秩,屋,至己乃民生 活一基礎之心道美海中人以夫之一傳統的概念心

PURL: http://www.legal-tools.org/doc/eb55c8/

權三对之日本上一年紀月交际又以年二十月軍請及之,府一個一個人保證也了了为場合只求別大統領八衛內在成落之左記一條件於開下三個八來認也了以且日本成

それら一種」

中多衛工員人撤退以日本中写官、帰佐了に、天備、足にはく日本里限

八中子領土了獲得セヤルコト

三腹傷っませいコト

通常了以被三於于日末仍三衛定又心己不何戶南京,将京京門戶南於,再開,是,解根及」面用一於了八得東

(蒋介石政權及)互精衛政權,合作

任七十八三十八十十分領土,对己日本杨民八大規模,且拿中仍一為一次中分領土,对己日本杨民八大規模,且拿中仍一為一次不不可有不可有了五村衛的村一合

大福里的一块路

天心心居者也以場合言、生到政府、中到三才已被助了停止若至衛介不成構作门上又公上一大統領一學請可及已程府的協力, 像二號と具作的條件引提去又記內並之善隣友好 共產 至美一姓制一对己所衛內立之管陳及好 共產 医囊一性侧一种人以所衛内上表於新新合体多中國政府久了情感機関,直接交為了周仍不写

八米正人及心日本人八及于共太平洋二於下以至知強四大平洋一於下以府軍航空、至三商服軍保

于決定セラレルべる。 ラ決定セラレクル共同会議三於師とは具体的協定、提議セラレクル共同会議三於卡仿軍及口空軍、配備于治中サルン、、是三等元詳清于級スンか放一俊等、豆二相手の「南岸成人が加

一部附代司特記及者人機禮的鑑隊司夫七相手及計圖也了了夕会議一終了及兩品、太平洋三於下以平松

るる強度スッか。

又。右三军己明敷、會議三於了決足七月八分。不三年己明敷、會議三於了決寡 在之子八分。即我去来次第 幹被及了上的不契约去来也樣 解放也多才也可可是等, 能夠敷,何割力了至上之下太平洋一里能及2岁以來,便明在之米至不成人十一以日本, 对有己 船舶, 魚明八支那百萬解決, 力一階光が見工夕時日本政府八

对之祖本一方下心经有状態一次善及心日米雨忍成府兩名了一经府的杨力习促進人人為二米另八月本一

一不断,经情的佛力同口了一口的原原及口意禁一管 展升促進入以為一四里十八室額二座入八金一八十八十八月 歌へティー上談機とど

天西南太平衛之為成了。 經情能動西南太平洋之於下 か同本、語動や平松的手段二後りるにはうしいべるトー 班号的保證一下二米是八日本一必要上又几天然資源 (例以油、丁、锅、一下仁等)一生意及口獲得一個九季人 K ? N

大大平洋三於下口成治的安定一影便百万七两名一成第 人米國政府及口日本政府:将未極東及口西有大学 洋二於下以領土、議治又八規存也家,於川鷸園了 原属山野路ヤチュンツ

は米園成府及び日本政府、トーリッツ、群島、衛 五月共同一保證之旦中三者一條心福差七三七十八侵 既-帰合同群島り後的スと歩段う講べつと

- 八日在成府、英亚一定至三對己是以上人政治的侵 略、着し「ロトラテノ管港及」びこかボール、り除 去又以三十二村之米必成府一好景的且北天的援助 下的 禁天人
- 三米名及以西南太平洋地域1一日本物民二點于 、但ふ民小平等三至于差別十年基礎一下二支通 的考慮が軽くしていか

- 事門本員及ど分務負,除去,各五名以下上人、公衛公一位り開力という上,提議之,父表,数:上人養,以未分倒、いして、いいして、は傷,日本例,不國及日本,然表,會議,可不小に」二用催之,
- は食業に代る、イナナーアワー」、引席、許サス
- 年全月)開催之得心之八本官議、本了解於送下了以次第((九四)是何私十人
- 会意,你,決定之得以, 起車,努力が向下,己,切確,必嫌題、兩名及府, 人議題,明記益,了解,有知化己,為,手段,一會強,議題、本了解,再考,容又,豫人打官

到於

係在七三八八公本了解八米乃政府受日本成府守一神受傷皇者上三月本了解八米乃政府受日本成府守一神受傷皇者上三月

三月月 法是己之心公司本了解一然表了就回将格及口時期公府五成府

外交海保 正如九八一五〇二頁

Toc. No. 220 C (24)

MEMORANDUM BY THE SECRETARY OF STATE

Excerpt.

(WASHINGTON,) April 14,1941

The Ambassador of Japan called at my apartment at the Wardman Park Hotel at my request. I stated that as the Ambassador would recall, both the President and I suggested during our conversations with him that he might care in discussions with me to explore the question of improving relations between the United States and Japan; that such a procedure might involve a review of relations during recent years in an attempt to ascertain where and in what respects the courses of the two countries had diverged; and that this would be done with a view to ascertaining whether something practicable might be done toward restoring the relations of our two countries to that harmonicus state which existed for so many decades. I said that I referred to this again at this time because of the reports which have been coming to me that certain of the Ambassador ts compatriots have been working on formulation of proposals and plans for improving relations between the United States and Japan. had been told that the Ambassador's competriots have been in touch with the Ambassedor in connection with their proposals and that the Ambassador has participated in and associated himself with these I added that I did, of course, not know whether these reports ere entirely accurate and, as mentioned previously to him, we can deal only with the Ambassador in addressing ourselves to consideration of problems outstanding between our two Governments.

I then emphasized the point that I had sent for the Ambassador primarily to clear up the matter of the extent of his knowledge of the latest document handed to my associates in the State Department by those Americans and Japanese who are colleborating as individuals in an effort to make some sort of contribution to better relations between the two countries, and as to whether it was his desire to present that officially as a first step in negotiations between the two Governments. I again cited those phases previously referred to, which called for preliminary conversation on certain subjects before a stage of negotiations could be reached, and which discouraged the immediate presentation of the document by the The Ambassador promptly replied Ambassador in an official way. that he did know all about this document and that he had collaborated more or less with the individual Japanese and Americans referred to, and that he would be disposed to present it as a basis for He proceeded to refer to his great desire to preserve negotiations. peace between the two countries and therefore to do anything within his power to that end. He emphasized the utter disaster it would be to both countries to go to war, which would last perhaps for many years with the complete exhaustion of all concerned. strongly expressing the view that his Government did not intend to invade the South Sea area.

Foreign Relations II, pp.402- 403

アントショド 1か日/11年(日かかナルル)日日は日の旅か年) 園 禁 戸文(日) 「河見本日日

コトサラは養養シタコトラ部にか。 ひとかでしてを大き羅とい目的ラ以下的いとかでする人 存在こうと確うを状態と引成又的何の実行と意力を行うとなる。 りならすりをは、見見い同國関係の過去就十年の らはことかり確とかる。前立一次はいけ同の関係教育 方は、同國一連践か何处とはそ父かの十七年二代子 一次子は、兄ろうて、如何上提議シタロト、局シテ却のこ 院及ら今の日来関係改多で、内別・大使が今十分議 即ひ大使い記悟をファレルかり、会にないかり、然は、から、然は、いい、いい、から、ないないから、で、いいいと、いいと、いい、いい、いい

渡空下一度ラー大使し存みをは、そのようだり、人大使いアル報をうえてあることで、所、大使一同常が彼なり、関係改善な、提覧を及い計を見るであるの子はことのであり、何のからいく人、不会が見た、一直はか日米

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以京大使三年八月初、西南的一米次同题与南部 スルニから、我方い大使ノミラおをニスル外ナイト附言 111 次三余八大使一来許可尔人力一理由八两國南係改 mfa 11 在と How 前野カリームラかといませいかいして米國人 及ど日本人二里衛衛一年一同衛二の女力ラング一般が ノ文書は三乗り大使かかのけいがなみならきないか 又大使ハリレラ日米回國政府は一支は、五年八十 ツルは私はおかして祭とうかナームはかんだと スかんがアナトな論シと。年に以本できるシと海角 面子再少多用之为。是如了西面八天海相松三至山 近三次要十十年問題一度ストラ備今談子が要十三 且大使が存文者。一直一口有一提出不少十月阻止 KJH-ILVJ. KAR: FRIK +46- FOILE WERE WITH & TO WEST コト、個々ー日本人は出来國人にるがか初からかコト 且彼人是子交济一本發上之子提出之夕年立見同六

BUX 240一年的11 我上的少山村都是少少下睡上的 条:

る後のとなっないからいははいていかなかればいべ、可

三後人ととなったととを見り流っ根できる。 るこれに上発電かる。 般い日午政府、本分を地域 ならい、野なり、粉等中傷をなる見を一所後、大将 でうい、野なり、粉等中傷をなる見を一所後、大将 一般十 言及うる。 彼、在ら西園か干ズラ大兵 目的人名にかし及が限り置かったい彼、大十分布分の アルトのかなった。 彼、西園なり下入りはかる

外交實係 的第一回三一回。三百

1861

Doc. No. 220 C (25)

MEMORANDUM BY THE SECRETARY OF STATE

Excerpt.

(WASHINGTON,) April 16, 1941

With reference to the question of gradually developing a settlement in the Orient, I said that I had been told that the document on which the Ambassador and the private group of individual Americans and Japanese were collaborating contained numerous proposals with which my Government could readily agree; on the other hand, however, there were others that would require modification, expension, or entire elimination, and, in addition, there would naturally be some new and separate suggestions by this Government for consideration. I then remarked that the one paramount preliminary question about which my Government is concerned is a definite assurance in advance that the Japanese Government has the willingness and ability to go forward with a plan along the lines of the document we have referred to and the points brought up in our conversation in relation to the problems of a settlement; to abandon its present doctrine of military conquest by force and the taking of title to all property and territories seized, together with the use of force as an instrument of policy; and to adopt the principles which this Government has been proclaiming and practicing as embodying the foundation on which all relations between nations should properly rest.

I said:

"I will, therefore, hand to you as the basis for my preliminary question, the following four points on a blank piece of paper:

- 1. Respect for the territorial integrity and the sovereignty of each and all nations.
- 2. Support of the principle of non-interference in the internal effairs of other countries.
- Support of the principle of equality, including equality of commercial opportunity.
- 4. Non-disturbance of the status quo in the Pacific except as the status quo may be altered by peaceful means.

"You can enswer the questions or submit them to your Government for its answer through you, as you prefer. You understand that we both agree that we have in no sense reached the stage of negotiations; that we are only exploring in a purely preliminary and unofficial way what action might pave the way for necotiations later.

Foreign Relations II,pp. 406-407

(Dンソトン) 1大田/ま(Boo 九十六年)田月十六日 核 左子 國 恭 展 一点 高是 章

本等日籍次かが海門は中国烈日至して 松は大使や個とのアメーカ人と日本人との松め屋はそれ 会你由の文書のが我が放在がなべい届高い出来いる 提発をまるるくくらんではるとすかされてまたが、他面 食られ花状れ 金田の間院も田女生の旅祭もたり. 更日合衆的政府はの治成の我一、問題の現ます 株面からなったいないとはいいがはいた。がらまな 今時の政府が強いるののこの前は中日本の大なる 衛的問題其日本政府が李記文書及以解供の語問 数日極年の中からを探るなんかななかれてはは、日には Uta 1年初 年初 きいしい 温外を立てまる Beng え 信服」を表状の政策の多段とうのはかればとまる 奪取せる凡ての財産とめたとけみする権利を取得す ろうな放棄するる、又國民的の凡での関係が出外 五部すべき基本を見けるなのとして合然の政府が

茶井の風味の水野

百光的全般日本的銀米茶面以外大年華日

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法了確于午去了。外交関係四〇六一四〇七頁

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PURL: http://www.legal-tools.org/doc/eb55c8/